

# Investment Application Form

Date \_\_\_\_\_ Registration No \_\_\_\_\_

Please read the "Guidelines" overleaf before filling this form.

## INVESTOR INFORMATION

 Individual Investor(s)     For Corporate/Institution/Trust/Other Non-Individual

 New Applicant     Existing Account Holder

Account Title \_\_\_\_\_

CNIC / NICOP / NTN

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CDC Investor Account/Participant ID (if CDC units required) \_\_\_\_\_

CDC Sub Account No. \_\_\_\_\_

NAME OF FUND	CATEGORY	RISK PROFILE	TYPE OF UNITS	INVESTMENT AMOUNT		Front End Load (% of NAV)
				IN (RS.)	IN WORDS	
1. Faysal Special Savings Plan - I (FSSP-I)	Capital Protected Scheme	Very Low				
2. Faysal Special Savings Plan - II (FSSP-II)	Capital Protected Scheme	Very Low				
3. Faysal Money Market Fund (FMMF)	Money Market	Low				
4. Faysal Halal Amdani Fund (FHAF)	Shariah Compliant Money Market	Low				
5. Faysal Cash Fund (FCF)	Money Market	Low				
6. Faysal Islamic Cash Fund (FICF)	Shariah Compliant Money Market	Low				
7. Faysal Government Securities Fund (FGSF)	Sovereign Income	Low				
8. Faysal Islamic Sovereign Plan-I (FISP-I)	Shariah Compliant Sovereign Income Scheme	Low				
9. Faysal Islamic Special Income Plan - I (FISIP-I)	Shariah Compliant Income Scheme	Medium				
10. Faysal Financial Sector Opportunity Fund (FFSOF)	Income	Medium				
11. Faysal MTS Fund (FMSTF)	Income	Moderate				
12. Faysal Savings Growth Fund (FSGF)	Income	Medium				
13. Faysal Islamic Savings Growth Fund (FISGF)	Shariah Compliant Income	Medium				
14. Faysal Income & Growth Fund (FIGF)	Aggressive Fixed Income	Medium				
15. Faysal Islamic Financial Growth Plan-I (FIFGP-I)	Shariah Compliant Aggressive Fixed Income	Medium				
16. Faysal Asset Allocation Fund (FAAF)	Asset Allocation	High				
17. Faysal Islamic Asset Allocation Fund (FIAAF)	Shariah Compliant Asset Allocation	High				
18. Faysal Stock Fund (FSF)	Equity	High				
19. Faysal Islamic Stock Fund (FISF)	Shariah Compliant Equity	High				
20. Faysal Islamic Financial Growth Plan-I (FIFGP - I)	Shariah Compliant Aggressive	Medium				

MODE OF PAYMENT	INSTRUMENT NO	( DRAWN ON ) BANK NAME	BRANCH NAME & CODE	AMOUNT IN RS.
<input type="checkbox"/> Cheque				
<input type="checkbox"/> Pay Order				
<input type="checkbox"/> Online Transfer				

## FIXED PERIODIC PAYMENT OPTION (IF APPLICABLE)

I/We request to receive PKR \_\_\_\_\_ (for fixed Type B units only) at regular intervals.  
 (The capital invested may deplete/increase sufficient returns are not earned to cover the amount of relevant interval payment required by me/us.)  
 (I/We authorize FAML to redeem my units to pay me/us income at regular intervals based on the above instructions.)

Payment Frequency:    Monthly     Quarterly   
    Semi-Annual     Annual

## CERTIFICATE INSTRUCTION

Please issue Certificates (Certificate(s) will be issued only if requested (on payment of Rs 25/- per certificate which may be charged), Payment for certificate(s) may be combined with the payments for unit(s). Unless indicated by the applicant, minimum number of certificate(s) will be issued, Certificates shall not be issued for Type 'B' units.)

## COOLING - OFF RIGHT FOR INDIVIDUAL UNIT HOLDERS

All Individual Investors have a right to obtain a refund of their first time investment (cooling-off right) in a Collective Investment Scheme (CIS) managed by Faysal Asset Management Limited (FAML). The Unit Holder may exercise cooling-off right within three (3) business days commencing from the date of issuance of initial Statement of Account (cooling-off period). The cooling-off right shall be exercised by the unit holder upon written request to the FAML within the time specified. The refund pursuant to the exercise of a cooling-off right shall be paid to the Unit Holder be an amount equal to NAV per unit applicable on the date the cooling-off right exercise which is payable within six (6) business days of receipt of written request from the Unit Holder. AMC shall refund the Front end load (Sales Load) paid by the unit holder, however contingent load (Back end load) will be payable by the unit holder where applicable, in accordance with the Direction No. 31 of 2016 issued by Securities and Exchange Commission of Pakistan.

## DECLARATION AND SPECIMEN SIGNATURE OF ACCOUNT HOLDER(S)

I/We confirm having files & signed this application form after having read and understood the relevant Trust Deed(s), Offering Document(s) and all supplemental of the respective underlying Fund(s)/ Plan and further acknowledge the risk involved in mutual funds. I/ we confirm that I have understood the details of Sales Load to be deducted including taxes & all investment in mutual fund are subject to market risk which could result in loss of principle investment. I/ we have understand that conversion (either in part or full) is liable to capital gain tax / Zakat, if any I/We hereby confirm that all information provided in this form is true and correct to the best of my/our knowledge. I/We confirm that the representative of Faysal Funds/distributor has explained the features and risk of the product and I/we have understood these features and risks in which I/we have agreed to invest. I/We agree that I/we shall assume sole responsibility for determining the merits or suitability of any and all advice and/or recommendations of Faysal Funds before relying on the same to enter into any transaction. I/We will not hold Faysal Funds responsible for any loss which may occur as a result of my/our decision. I/We declare that I am the Ultimate Beneficial Owner of the amount invested and not generated from money laundering activities. I/We have been fully informed and understand that investment in units of mutual funds/CIS are not bank deposits, not guaranteed and not issued by any person. Shareholders of AMCs are not responsible for any loss to investor resulting from the operations of any CIS launched/to be launched by AMCs unless otherwise mentioned.

## Applicant's / Guardian's Signature \_\_\_\_\_

Joint Applicant(s)/ Authorized Signature(s)    1. \_\_\_\_\_ 2. \_\_\_\_\_ 3. \_\_\_\_\_ 4. \_\_\_\_\_

## FOR OFFICE USE ONLY

Cross Cheque/Pay Order/Demand Draft     Board Resolution Authorizing Investment     List of Authorized Signatories     Other Documents (please specify) \_\_\_\_\_

## DISTRIBUTOR DETAILS (FOR OFFICE USE ONLY)

Facilitator Name & Code \_\_\_\_\_ Form Date \_\_\_\_\_

Distributor Name & Code \_\_\_\_\_ Facilitator / Distributor Signature \_\_\_\_\_

## REGISTRAR DETAILS (FOR OFFICE USE ONLY)

Form Received By \_\_\_\_\_ Form Verified By \_\_\_\_\_

Name of Authorized Person \_\_\_\_\_ Form No \_\_\_\_\_ Authorized Signature \_\_\_\_\_

## INVESTOR RECEIPT (TO BE FILLED BY DISTRIBUTOR / FACILITATOR)

Received from \_\_\_\_\_ Application form for the sale of units of \_\_\_\_\_

with Cross Cheque/Pay Order/Demand Draft No. \_\_\_\_\_ dated \_\_\_\_\_ for Rs. \_\_\_\_\_ drawn on \_\_\_\_\_

Mode of Units     Electronic A/c statement     CDC Units     Physical

Authorized Branch Stamp \_\_\_\_\_ Authorized Signatory \_\_\_\_\_ Received By \_\_\_\_\_

KINDLY PROVIDE YOUR FORM WITHIN CUT-OFF TIMINGS : 9:00A.M TO 5:00 P.M AS PER BELOW PAYMENT INSTRUCTIONS:

Name of Fund	Payment Instrument in favour of	Front End Load
Faysal Special Savings Plan-I(FSSP-I)	CDC Trustee - Faysal Special Savings Fund - Faysal Special Savings Plan-I	Up to 2% of NAV
Faysal Special Savings Plan-II(FSSP-II)	CDC Trustee - Faysal Special Savings Fund - Faysal Special Savings Plan-II	Up to 2% of NAV
Faysal Money Market Fund (FMMF)	CDC Trustee - Faysal Money Market Fund	Up to 2% of NAV
Faysal Halal Amdani Fund (FHAF)	CDC Trustee - Faysal Halal Amdani Fund	Up to 2% of NAV
Faysal Cash Fund (FCF)	CDC Trustee - Faysal Cash Fund	Up to 2% of NAV
Faysal Islamic Cash Fund (FICF)	CDC Trustee - Faysal Islamic Cash Fund	Up to 2% of NAV
Faysal Government Securities Fund (FGSF)	CDC Trustee - Faysal Government Securities Fund	Up to 2% of NAV
Faysal Islamic Sovereign Plan-I (FISP-I)	CDC Trustee - Faysal Islamic Sovereign Fund - Faysal Islamic Sovereign Plan-I	Up to 2% of NAV
Faysal Islamic Special Income Plan - I (FISIP-I)	CDC Trustee Faysal Islamic Special Income Fund - Faysal Islamic Special Income Plan-I	Up to 2% of NAV
Faysal Financial Sector Opportunity Fund (FFSOF)	CDC Trustee - Faysal Financial Sector Opportunity Fund	Up to 2% of NAV
Faysal MTS Fund (FMTSF)	CDC Trustee - Faysal MTS Fund	Up to 2% of NAV
Faysal Savings Growth Fund (FSGF)	CDC Trustee - Faysal Savings Growth Fund	Up to 2% of NAV
Faysal Islamic Savings Growth Fund (FISGF)	CDC Trustee - Faysal Islamic Savings Growth Fund	Up to 2% of NAV
Faysal Income & Growth Fund (FIGF)	CDC Trustee - Faysal Income & Growth Fund	Up to 2% of NAV
Faysal Asset Allocation Fund (FAAF)	CDC Trustee - Faysal Asset Allocation Fund	Up to 3% of NAV
Faysal Islamic Asset Allocation Fund (FIAAF)	CDC Trustee - Faysal Islamic Asset Allocation Fund	Up to 3% of NAV
Faysal Stock Fund (FSF)	CDC Trustee - Faysal Stock Fund	Up to 3% of NAV
Faysal Islamic Stock Fund (FISF)	CDC Trustee - Faysal Islamic Stock Fund	Up to 3% of NAV
Faysal Financial Value Fund (FFVF)	CDC Trustee - Faysal Financial Value Fund	Up to 3% of NAV
Islamic Financial Growth Plan -I (FIFGP - I)	CDC Trustee - Islamic Financial Growth Plan - I	Up to 2% of NAV

If you have any questions or need additional information, please contact below mention address or contact number.

**Faysal Asset Management Limited**

West Wing, 7th Floor, Faysal House, Sharah-e-Faisal, Karachi.

U 92 21 111 329 725 W [www.faysalfunds.com](http://www.faysalfunds.com) E [customerservices@faysalfunds.com](mailto:customerservices@faysalfunds.com)

**Risk Disclosure:** All investment in mutual funds are subject to market risks. The NAV of units may go up or down based on the market conditions. Past performance is not necessarily indicative of future results. Please read the offering documents of respective schemes for understanding the investment policies and the risk involved.