

Application form received from Mr/ Ms/ Mrs

Fund along with certificates where applicable.

Authorized Branch Stamp

Pledge Form Please read the "Guidelines" overleaf before filling this form. Date Registration No PRINCIPAL APPLICANT / PLEDGER Account Title CNIC/NICOP/NTN DETAILS OF UNITS Name of Fund Number of Units to be placed under lien / pledge Type of Fund Certificate Numbers (fissued) Date of Certificate Issuance **DECLARATION BY PLEDGER** In making this request I/We, recognize and understand that: The registration of this Pledge/Lien places a responsibility on you to ensure that all benefits accruing on such Units (hereinafter referred to as the Pledged Units) shall be held or paid to the order of the Lien - Holder(s). Save any legal bar or court order requiring otherwise, any dividends that are declared on the Pledged Units shall be paid to the order of the lien-holder, any bonus Units that the Pledged Units are entitled to be automatically marked under the lien of the lien-holder and in the event the Pledged Units are redeemed by the lien-holder for any reason whatsoever, the proceeds shall be paid to the order of the lien-holder. FAML or the Trustee or the Transfer Agent do not accept any responsibility for the validity of my/our placing of the pledge Unit(s) under Pledge/Lien nor for any obligation or commitments undertaken by me/us in respect thereof. The Pledge/Lien on the Pledged Unit(s) shall continue till such time it is released by Pledge/Lien Holder(s) in writing. Any charges, duties, levies, etc. applicable on such Pledge will be bourne by the Pledger and I am / We are authorized to request for the pledge of units which are in my/our/institution's name. Principal Applicant's / Pledger's Signature _ Stamp in case of Institution _ JOINT HOLDER(S) / AUTHORIZED SIGNATORIES Name CNIC/NICOP# Name Signature CNIC/NICOP# Name Signature DETAILS OF PLEDGEE / LIEN HOLDER Bank Account Details: Name: Contact No CNIC/NICOP/NTN Address: Name of Authorized Signatories Pledgee's Signature. Company Stamp in case of Institution JOINT PLEDGEE / LIEN HOLDER NAME(S) AND SIGNATURE(S) 2. 1. 3. 4. DISTRIBUTOR INFORMATION Distributor/Facilitator name Pledge date Distributor/Facilitator code Remarks Total Certificates and units received Data input by Certificates ☐ Electronic Units Authorized signature ____ REGISTRAR DETAILS Account number verified by Pledge date _ Signature verified by _ Data input by _ Certificates verified and defaced by_ Form No **Authorized Signatory** Name of Authorized Person RECEIPT (TO BE FILLED BY DISTRIBUTOR / FACILITATOR) Date

Risk Disclosure: All investment in mutual funds are subject to market risks. The NAV of units may go up or down based on the market conditions. Past performance is not necessarily indicative of future results. Please read the offering documents of respective schemes for understanding the investment policies and the risk involved.

Authorized Signatory _

for Pledge of _

units/amount of

Received by

GUIDELINES FOR COMPLETING THE PLEDGE FORM

This form would be used for pledge of the units of the funds for both individual and institutional Customers. Please complete the application form in BLOCK letters.

PRINCIPAL APPLICANT/PLEDGER

- 1. The Unit holder/Pledger must indicate the name and account number along with the particulars of the pledgee/lien holder for the record of the Registrar.
- 2. In case of verification, the verified pledge/lien form will be the only instrument recognized by the Registrar for recording pledge/lien of units. If the pledge/lien form is lost or destroyed or mutilated a new verified pledge/lien form will be issued on application by the Unit holder/Lien-holder and on payment of such terms as to evidence, indemnity and security, including publication of the fact in newspaper.
- 3. The signature(s) on the Pledge Form will be verified by registrar before registration of pledge, along with the copies of original documents

OTHER INSTRUCTIONS

4. Type B units flexible and fixed of following funds shall not be eligible for pledge.

Faysal Saving Growth Fund (FSGF)

Faysal Islamic Saving Growth Fund (FISGF)

Faysal Money Market Fund(FMMF)

- 5. Copies of the following documents are required to be submitted by the pledgee/lien holder with Pledge Form;
 - a. Individual: Computerized National Identity Card(s)*
 - b. For Corporate/Statutory bodies:
 - · Memorandum and Articles of Association / Bye Laws/Trust Deed
 - · Power of Attorney or other documents authorizing the officer signing the Application Form.
 - · Computerized National Identity Card* of the officer(s)-signing on company's behalf
 - · Board Resolution authorizing pledge.
- 6. For change in Unitholder's and pledge/lien holder's Register, such as address, unit holders/lien holders may please complete the account opening form as applicable.
- 7. Units shall not be released until, they have been cleared by the pledgee in writing.

Faysal Asset Management Limited

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